# IAP6 Rec'd PCT/PTO 20 JUL 2007

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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

CENT		
Application of:	)	Docket No.: U 016480-4
JENNEMAN, Gary E. et al.	)	Group Art Unit: 1617
Serial No.: 10/593,983	)	Examiner:
Filed: 10/02/2006	)	Confirmation No.: 6085
Filed. 10/02/2000	)	Committation 140 0005
INHIBITION OF SULFIDOGENIC BACTERIA	)	
VIA BIOCIDE AND METABOLIC INHIBITOR	)	
COMBINATION	)	
Mail Stan Missing Dorts		

Mail Stop Missing Parts Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

### EXPRESS MAIL TRANSMITTAL

Transmitted herewith is/are: Express Mail Transmittal (1 page); Request to Change Entity Status (2 pages); EXHIBITS A & B (2 pages); Missing Parts Transmittal (1 page); Notification of Missing Requirements PTO Form (2 pages); Declaration and Power of Attorney - Jenneman (1 page); Declaration - Voordouw and Hicks (2 pages); Power of Attorney - UTI Limited Partnership (1 page); \$130.00 Late Declaration Fee; \$1,320.00 Change in Entity Status Fee; and Return Postcard.

EV 888982648 US

("Express Mail" Mailing Label Number)

Respectfully submitted,

Dated: <u>July 20, 2007</u>

Kameron D. Kelly, Reg. No. 44,181

**HOVEY WILLIAMS LLP** 

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Kansas City, Missouri 64108

(816) 474-9050

by

ATTORNEYS FOR APPLICANTS

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Sir:

### REQUEST TO CHANGE ENTITY STATUS UNDER 37 C.F.R. § 1.28(c)

# **Background Information**

The Transmittal Letter accompanying the filing of this Application included a Fees section setting forth the Large Entity filing fees for the Application. A copy of the Fees section of Applicants' original Transmittal Letter is enclosed herewith as EXHIBIT A. Although Applicants made no claim to Small Entity status when filing this Application, the Office's Fee Record Sheet indicates that the Office charged the small entity rates for the filing fees. A copy of the Office's Fee Record Sheet is enclosed herewith as EXHIBIT B.

The Notification of Missing Requirements mailed by the Office on May 24, 2007 incorrectly states that an "Indication of Small Entity Status" was submitted by the Applicants. Further, the Notification of Missing Requirements sets forth the Small Entity amount (\$165) for the fees due in connection with the submission of missing requirements. It is clear, therefore, that the Office currently considers the Application to be of Small Entity status.

# Request to Change Small Entity Status to Large Entity Status

Applicants hereby request that the status of this Application be changed from Small Entity to Large Entity. Applicants are seeking to correct this error in accordance with 37 C.F.R. § 1.28(c), and any acts of Applicants that may have contributed to this error were made in good faith.

### Calculation of Fee Amount Owed

The following table sets forth an itemized list of the fee amounts previously charged by the Office and the correct large entity fee amounts that should have been charged by the Office. The difference between the previously charged amounts and the correct large entity amounts is the fee amount owed in connection with changing from Small Entity to Large Entity status.

Calculation of Fee Deficiency Amount					
Fee Code	Description of Fee	Original Fee Amount Paid	Correct Large Entity Fee Amount	Deficiency or (Overpayment)	
2631	. Basic Fee	*150	300	150	
2641	Search Fee (US=ISA)	*50	100	50	
2615	Total Claims (>20)	*1,100	2,200	1,100	
2614	Indep. Claims (>3)	*100	200	100	
1206	UNKNOWN	*80	0	(80)	
TOTAL AMOUNTS => \$1,480		\$2,800	\$1,320		
* Amount charged by the Office as indicated on the Fee Record Sheet (EXHIBIT B)					

## **Payment of Deficiency**

Please find enclosed a check in the amount of \$1,320.00 for changing this Application from Small Entity status to Large Entity status. The \$1,320.00 amount includes deductions for the deficiencies associated with the original payment of the Basic Fee (\$150 deficiency), the Search Fee (\$50 deficiency), the Total Claims fee (\$1,100 deficiency), and the Independent Claims fee (\$100 deficiency), as well as a credit for the original payment of the unknown fee associated with Fee Code 1206 (\$80 overpayment). In the event that any additional fee is required, the Office is authorized to deduct any such additional fee from Deposit Account No. 19-0522.

Respectfully submitted,

HOVEY WILLIAMS LLP

By

Kameron D. Kelly, Reg. No. 44,181 2405 Grand Boulevard, Suite 400 Kansas City, Missouri 64108

(816) 474-9050

ATTORNEYS FOR APPLICANTS

- (2) A notice under paragraph (c)(1) of this section will set a period of time within which applicant must provide any omitted translation, oath or declaration of the inventor, search fee set forth in § 1.492(b), examination fee set forth in § 1.492(c), and any application size fee required by § 1.492(j) in order to avoid abandonment of the application.
- (3) The payment of the processing fee set forth in § 1.492(i) is required for acceptance of English translation later than the expiration of thirty months after the priority date. The payment of the surcharge set forth in § 1.429(h) is required for acceptance of the oath or declaration the inventor later than the expiration of thirty months after the priority date.

#### 2. Fees

CLAIMS FEE *	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS*	64 - 20 =	44	x \$ 50.00 =	\$ 880.00
	INDEPENDENT CLAIMS*	4 -3=	1	x \$ 200.00 =	200:00
	MULTIPLE DEPENDE	NT CLAIM(S) (if ap	plicable) + \$360.0	00	
FILING FEES**	[ ] No Search [ ] Exam Fee I [x] Search and or IPER co	earch Report file	(\$ J.S. WO fied (\$	\$400.00) \$500.00) \$200.00)	\$300.00
		nditions satisfied		(00.00	
	drawings fi sheets (ex or compute electronic r	fee for specificat led in paper over cluding sequence r program listing nedium). The fee ditional 50 sheets reof	100 listing filed in is \$250	5 )	
		Total of above Calculations			= \$1,480.00
SMALL ENTITY	Reduction by ½ for filing by small entity, if applicable. Statement may also be filed. (note 37 CFR 1.9, 1.27, 1.28)				-
	·	Subtotal			
	Total National Fee				\$1,480.00
	Fee for recording the enclosed assignment document \$40.00 (37 CFR 1.21(h)). (See Item 13 below). See attached "ASSIGNMENT COVER SHEET".				
TOTAL				Total Fees enclosed	\$1,480.00

<sup>\*</sup>May include Preliminary Amendment (see page 8) reducing the number of claims.

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